

Monetary Authority of Singapore

# Short Position Reporting System (SPRS)

User Registration User Guide

Version 1.3

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# 1. ABOUT THIS GUIDE

This is a step-by-step guide on how to register a user account to access SPRS.

You are only required to register <u>once</u> to get your Short Position Reporting ID ("SPRID"). The SPRID, which is unique to each registrant, will be used for the submission of the Short Position Reporting Form through SPRS.

If you are a reporting agent reporting on behalf of short position holders, you should also register separately for an SPRID.

There are two types of SPRS user accounts – Individual and Company.

- If you are registering as an **individual**, please refer to section 2.1.
- If you are registering as a **<u>company</u>**, please refer to section 2.2.

## 2. HOW TO REGISTER FOR AN SPRS USER ACCOUNT

### 2.1 User Registration - Individual

- Step 1. Click User Registration under REPORT POSITION in the SPRS main menu.
- Step 2. Select 'User Type' as 'Individual'. See **Figure 2.1-1**.

NOTE
 The page will be refreshed when you switch selection between 'Individual' and 'Company'.

Identification	
User Type *	Individual     Company
Identity Type *	NRIC/FIN
Identity Number *	NRIC / FIN No (e.g. S******A)

Figure 2.1-1 Registration form for Individual

- Step 3. Fill in the mandatory fields (marked with \*).
  - a) For Singpass users, please select 'Identity Type' as 'NRIC/FIN'. You will use your Singpass to log in to SPRS subsequently. See **Figure 2.1-2**.
  - b) For foreigners <u>without</u> a Singpass account, select 'Identity Type' as 'Passport No.' or 'Others'. For 'Others', please enter the appropriate identity type. You have to set up an account password, and use this password along with your SPRID to log in to SPRS subsequently. To set up the account password, refer to Step 3(f) below.

Identification		
User Type *	NRIC/FINPmpany	
Identity Type *	Others If Others, please specify	
Identity Number *		
Name *		
Country of Citizenship *	SINGAPORE 🔽	
Date of Birth *	iiii "dd/mm/yyyy"	

Figure 2.1-2 Identity Type field

c) If the 'Mailing Address' is different from the 'Residential Address', uncheck "Same as residential address", and enter the mandatory address fields below it. See Figure 2.1-3.

Mailing Address *	Same as residential address
	Singapore Address     ONon-Singapore Address
	Blk / House No.*
	Street Name *
	Unit # -
	Building Name
	Postal Code * Singapore

Figure 2.1-3 Mailing Address

- d) If you use more than one brokerage firm to trade, click Add New to add more brokerages, and click Delete to remove brokerage. See Figure 2.1-4.
- e) If you are a foreign user, upload supporting documents to verify your identity by clicking Select to open your Windows browser and select the file for upload. See Figure 2.1-4.

#### Den Note

• The system accepts PDF, JPG and PNG file types.

Other Details Supporting Registration	on
Employer	
Occupation *	
Brokerage(s)	CIMB Securities Pt
	Please Select   Delete
	Add New
Central Depository Pte Limited ("CDP") Account No.	CDP Securities Account No. ( exclude '-' )
Supporting Documents	Select

Figure 2.1-4 Other Details

f) If the Identity Type is 'Passport No.' or 'Others', you have to set up an account password to log in to SPRS subsequently. See Figure 2.1-5.

#### Den Note

Your password has to meet the following minimum requirements:

- at least 12 characters long;
- contain alphabets in upper, lower case, digits and special characters;
- must be different from the previous 10 passwords and user's SPRID;

Set Up Your Account Password	
SPRS Account Password *	
Confirm SPRS Account Password *	

Figure 2.1-5 Set up account password

- **g)** Select the security questions and enter your answers to the questions. Answers to security questions are case-sensitive. See **Figure 2.1-6.**
- h) Enter the Captcha verification code (case-sensitive). Click Next . See Figure 2.1-6.

IOTE	
If you forget your SPRID or personed, these questions will be asked to verify your	

• If you forget your SPRID or password, these questions will be asked to verify your identity.

What is your childhood nickname?		
(Case Sensitive)		
Where was the office location for your first job?	V	
(Case Sensitive)		
ZUX		
	What is your childhood hickhame? (Case Sensitive) Where was the office location for your first job? (Case Sensitive)	What is your childhood nickname?         (Case Sensitive)         Where was the office location for your first job?         (Case Sensitive)         (Case Sensitive)

Figure 2.1-6 Security questions and Captcha verification

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Step 4. Upon successful registration, an acknowledgement page with your SPRID number will be displayed. See **Figure 2.1-7.** 

#### ΝΟΤΕ

• Please take note of your SPRID number. This SPRID will be used for log-in and submission of short position reports.

Step 5. Click Next to proceed to account verification.

MAS M	lonetary Authority Singapore	SP	PRS		Contact Info	apore Gove ity · Service ·   Feedback	ernment • Excellence   SiteMap
HOME	ABOUT SPRS	VIEW REPORTS	REPORT POSITION	HELP			
Home » Report P	osition » User Registration	» Registration Status					
User Details	Registration »	ccount Verification »	Acknowledgement »				
We are please Please take no Next	d to inform you of the s te of your SPRID numl	uccessful registration per <mark>1N1701250003</mark>	n completed as of 2:36:24	PM. Proceed to verify your account.			

Figure 2.1-7 Successful registration page



- If Identity Type is 'NRIC/FIN', proceed to Step 6.
- If Identity Type is "Passport No." or "Others", skip to Step 8.

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Step 6. You will be redirected to the Singpass log-in page. Enter your credentials to log in to Singpass. See **Figure 2.1-8**.

#### ΝΟΤΕ

• Please refer to the Singpass website at <a href="https://www.singpass.gov.sg">https://www.singpass.gov.sg</a> for queries related to Singpass.

singpass	F   T   F 🖨 Q
Advisory Note 🗸	
	Singpass app Password login
	Log in
	Singpass ID
😏 Welcome to Singpass	Password
Your trusted digital identity	Forgot Singpass ID Reset password

Figure 2.1-8 Singpass log-in page

- Step 7. After logging in to Singpass successfully, you will be redirected back to SPRS to complete the SMS OTP verification. See **Figure 2.1-9.**
- Step 8. An SMS containing the One-Time Password (OTP) will be automatically sent to your registered mobile number. If you do not receive the SMS after 1 minute, click Get OTP via SMS to get a new OTP.
- Step 9. Enter the last 6 digits of the OTP in the field provided.
- Step 10. Click Verify Account to verify account.

User Regi	stration		
User Details »	Account Verification »	Acknowledgement »	
OTP Auth	entication with SMS	3	
			Input the 6-digit OTP
		SMS	Get OTP via SMS
		1000	

Figure 2.1-9 SMS OTP authentication

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- Step 11. Upon successful account activation, an acknowledgement page with the same SPRID number will be displayed. See **Figure 2.1-10**.
- Step 12. An email with the same SPRID will be sent to your registered email address.



Figure 2.1-10 Successful account activation

## 2.2 User Registration - Company

- Step 1. Click User Registration under REPORT POSITION in the SPRS main menu.
- Step 2. Select 'User Type' as 'Company'. See **Figure 2.2-1**.

ΝΟΤΕ

• The page will be refreshed when you switch selection between 'Company' and 'Individual'.

Identification	
User Type *	O Individual O Company
Company Identity Type *	UEN V

Figure 2.2-1 Registration form for Company

- Step 3. Fill in the mandatory fields (marked with \*).
  - a) For companies issued with a UEN from a Singapore government agency, select 'Company Identity Type' as 'UEN'. See **Figure 2.2-2**.
  - b) For companies <u>without</u> a UEN, select 'Company Identity Type' as 'BIC', 'LEI', 'ISIN' or 'Others' as appropriate. For 'Others', please enter the appropriate company identity type.

Identification	UEN
User Type *	BIC LEI pmpany
Company Identity Type *	ISIN Others If Others, please specify
Company Identity Number *	
Company Name *	
Business Unit	

Figure 2.2-2 Company Identity Type field

c) To create a Company account at Company level, the Business Unit name should be left blank. To create a Company account at Business Unit level, the Business Unit name is mandatory. See Figure 2.2-3.

#### 

- For a Company account, you can choose to create the account to submit positions at either Company level or Business Unit level.
- For Company level, the reporting entity will have to aggregate all short positions as a legal entity to report as a single submission.
- For Business Unit level, each business unit of a legal entity can separately aggregate its own short positions for submission. This requires multiple user accounts to be created for the company using the same UEN but with different business unit names.
- For more information on whether to submit positions at Company level or Business Unit level, please refer to MAS' Guidelines on Short Selling Disclosure.

Identification	
User Type *	O Individual
Company Identity Type *	Others If Others, please specify
Company Identity Number *	
Company Name *	
Business Unit	

Figure 2.2-3 Business Unit field

d) The company needs to assign <u>at least one representative</u> to act as the primary contact for MAS. The details of this representative should be provided in the "Primary Contact" section. If there is an alternate representative, enter the details in the "Alternate Contact" section. An alternative representative should ideally be appointed to take on the role of the primary representative where the primary representative is unavailable. See Figure 2.2-4.

Primary Contact			
Name *			
Identity Type *	NRIC/FIN		
Identity Number *	NRIC / FIN No (e.g. S******A)		
Office Tel No.	+ -		
Mobile No. *	+ 65 -		
Email Address *			
Alternate Contact			
<u>Alternate Contact</u> Name			
<u>Alternate Contact</u> Name Identity Type	NRIC/FIN		
<u>Alternate Contact</u> Name Identity Type Identity Number	NRIC/FIN		
<u>Alternate Contact</u> Name Identity Type Identity Number Contact No.	NRIC/FIN         Image: NRIC / FIN No (e.g. S******A)           +         -		



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- Step 4. Fill in the remaining mandatory fields (marked with \*).
- Step 5. Upon completing the form, enter the Captcha verification code (case-sensitive). Click
- Step 6. Upon successful registration, an acknowledgement page with the SPRID number will be displayed. See **Figure 2.2-5.** 
  - NOTE
     Please take note of the SPRID number. This SPRID will be used for log-in and submission of short position reports.

Step 7. Click Next to proceed to account verification.

Home » Report Pos	ition » User Registrat	ion » Registration Status		
User Details »	Registration »	Account Verification »	Acknowledgement »	
We are pleased to inform you of the successful registration completed as of 3:28:56 PM. Proceed to verify your account. Please take note of your SPRID number. CO1701250004 Next				

Figure 2.2-5 Successful registration

- Step 8. You will be directed to complete the SMS OTP verification. See **Figure 2.2-6**.
- Step 9. An SMS containing the One-Time Password (OTP) will be automatically sent to the registered mobile number. If you do not receive the SMS after 1 minute, click Get OTP via SMS to get a new OTP.
- Step 10. Enter the last 6 digits of the OTP in the field provided.
- Step 11. Click Verify Account to verify account.

User Regi	stration	
User Details »	Account Verification »	Acknowledgement »
OTP Auth	entication with SMS	6
		Input the 6-digit OTP
		SMS Get OTP via SMS
		Verify Account Cancel

Figure 2.2-6 SMS OTP authentication

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- Step 12. Upon successful account activation, an acknowledgement page with the same SPRID number will be displayed. See **Figure 2.2-7.**
- Step 13. An email with the same SPRID will be sent to the registered email address.



Figure 2.2-7 Successful account activation